

**METROPOLITAN PLANNING ORGANIZATION BOARD
EXECUTIVE COMMITTEE**

1:30 p.m., Wednesday, January 8, 2014
Cape Coral City Hall Room 220 A
1015 Cultural Parkway Blvd., Cape Coral, FL 33990



AGENDA

Call to Order

Roll Call

Approval of Minutes

1. *[Approval of the Minutes of the July 31, 2013 Executive Committee](#) (Don Scott)

New Business

2. Public Comments on New Business Items
3. *[Review Submitted Request for Proposals for the 2013-0L1 General Legal Services and Select MPO Legal Firm to move forward with Negotiations](#) (Don Scott)
4. [+Review of the FY 2012/2013 End of Year Audit](#) (Don Scott)
5. *[Complete and Approve the Executive Director's Evaluation](#) (Don Scott)
6. [Review of the State and Federal 2040 LRTP Transportation Revenues and Provide Staff Direction](#) (Johnny Limbaugh)
7. [Update and Discussion on the TIGER Grant](#) (Don Scott)
8. [Discussion on the Analysis of Projects for the 2040 LRTP](#) (Don Scott)

Other Business

9. Public Comments on Items Not on the Agenda
10. Announcements
11. Information and Distribution Items

Adjournment

* Action Items + May Require Action

All meetings of the Lee County Metropolitan Planning Organization (MPO) are open to the public. In accordance with the Americans with Disabilities Act, any person requiring special accommodations to participate in this meeting should contact Mr. Johnny Limbaugh at the Lee MPO 48 hours prior to the meeting by calling (239) 330-2242; if you are hearing or speech impaired call (800) 955-8770 Voice / (800) 955-8771 TDD. Or, e-mail jlimbaugh@leempo.com.

The MPO's planning process is conducted in accordance with Title VI of the Civil Rights Act of 1964 and related statutes. Any person or beneficiary who believes he has been discriminated against because of race, color, religion, sex, age, national origin, disability, or familial status may file a complaint with the Florida Department of Transportation District One Title VI Coordinator Robin Parrish at (863) 519-2675 or by writing her at P.O. Box 1249, Bartow, Florida 33831.

**MINUTES OF THE LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
BOARD'S EXECUTIVE COMMITTEE**

Held on July 31, 2013

The following members were present for the meeting of the Lee County Metropolitan Planning Organization Board's Executive Committee on July 31, 2013 at the offices of the Lee County MPO, Conference Room A200, 815 Nicholas Parkway, Cape Coral, Florida.

Councilman Kevin McGrail	City of Cape Coral
Vice-Mayor Stephen McIntosh	City of Bonita Springs
Mayor Kevin Ruane	City of Sanibel
Councilman Thomas Leonardo	City of Fort Myers
Commissioner Cecil Pendergrass	Lee County Commission
Mayor Alan Mandel	Town of Fort Myers Beach

Those also in attendance included: Don Scott and Johnny Limbaugh of Lee County MPO.

CALL TO ORDER

The meeting was called to order at 10:00 a.m. by Mr. Scott.

APPROVAL OF MINUTES

Agenda Item #1 – Approval of the Minutes of the September 17, 2012 Executive Committee Meeting

Councilman Leonardo opened the dialog about the purpose of bringing the Nashville MPO to speak at the Board meeting. The committee discussed the purpose and merits of the bringing in other areas to learn from their experiences. Mayor Ruane provided some background on why the executive committee was created and the importance of have an open dialog.

MOTION BY COUNCILMAN MCGRAIL TO APPROVE THE MINUTES OF THE NOVEMBER 20, 2012 EXECUTIVE COMMITTEE MEETING. SECONDED BY COUNCILMAN LEONARDO. MOTION CARRIED UNANIMOUSLY.

NEW BUSINESS

Agenda Item #2 – Public Comments on New Business Items

None.

Agenda Item #3 – Review and Approval of the MPO Attorney RFP

Mr. Scott presented the RFP for selecting a MPO attorney

In the past, the Lee MPO has been using an attorney from the Lee County Attorney's Office to attend MPO Board meetings, to review and approve contracts and assistance on agreements etc. but has never paid for those services. Over the last few months the MPO staff has been working with the Lee County Attorney's office to put out an RFP for legal services to represent the MPO on an as needed basis. With input from the County Attorney's office, the projection for the yearly cost for these services is \$7,000. The MPO staff is proposing that the Executive Committee be the selection committee for ranking and selecting the law firm/attorney that will conduct these services and at the meeting we will be seeking a confirmation of that.

Councilman Leonardo raised concerns that an attorney that works only part time on the MPO issues. The board discussed how the issue was raised. The group discussed the merits of having an independent look at issues. Don shared how some of the other MPO's are set up.

MOTION BY COUNCILMAN LEONARDO TO MOVE FORWARD WITH THE RFP. SECONDED BY COUNCILMAN MCGRAIL. MOTION CARRIED UNANIMOUSLY.

Agenda Item #4 – Input from Local Jurisdictions on Existing Revenue Data

The committee discussed how each jurisdiction addressed budgets and revenue projections. Each jurisdiction discussed the need for transportation improvements to be fiscally responsible and to make sense. Projects should not continue to move forward if circumstances have changed that make the project no longer needed or viable. The discussion then turned to how current revenues are failing to meet our needs. Councilman Leonardo asked that Johnny Limbaugh present the sales tax concept that he had been discussing. Mr. Limbaugh presented the concept of reducing local option gas taxes and replacing them with a local sales tax. The committee discussed the pros and cons of moving forward with such an option. It was decided that more information was required.

MOTION BY CCOUNCILMAN LEONARDO MOVED TO REQUEST STAFF TO LOOK AT WHAT A 1 CENT SALES TAX COULD GENERATE AND WHAT USES THE TAX COULD BE USED FOR. SECONDED BY COUNCILMAN MCGRAIL.

Other Business

Agenda Item #5 – Staff Recommendations and Executive Committee Input on the Development of the 2040 Revenues

This item was covered in Item 4.

Agenda Item #6 – MPO Executive Committee Input on 2040 LRTP Goals and Objectives and Population Projections

Don presented this item. The MPO usually uses BEBR (Bureau of Economic and Business Research) projections to establish the future population that is used in the development of the LRTP and the transportation model. At the current time (BEBR 2012 report) the high, medium and low BEBR projections for Lee County are listed below:

	4/1/11	2015	2020	2025	2030	2035	2040
LEE	625,310						
Low		638,900	687,800	727,900	759,300	782,100	797,500
Medium		675,000	763,200	848,000	928,500	1,004,500	1,077,300
High		720,400	840,600	964,900	1,092,700	1,223,300	1,357,900

The median BEBR numbers used for the 1995 and 2005 time frames appear to be on target based on our existing population but the ones used during 1998 and 2000 are lower than what we are currently experiencing (mainly due to adjustments made after a downturn in the economy). There was also a discussion regarding the development of the LRTP Goals and Objectives and using a more straight forward and easier to read format similar to the Nashville MPO example.

MOTION BY MAYOR RUANE TO ADOPT THE MEDIUM BEBR NUMBERS AND USE THE FORMAT OF THE NASHVILLE MODEL FOR THE GOALS AND OBJECTIVES. SECONDED BY COUNCILMAN MCGRAIL.

Agenda Item #7 – Public Comments on Items Not on the Agenda

None

Agenda Item #8 – Announcements

None

Agenda Item #9 – Information and Distribution Items

None

ADJOURNMENT

Meeting adjourned at 11:57 a.m.

**REVIEW GENERAL LEGAL SERVICES PROPOSALS AND SELECT
FIRM TO MOVE FORWARD WITH NEGOTIATIONS**

RECOMMENDED ACTION: Review the submitted Request for Proposals (**Attached**) for General legal Services and based on the scoring criteria, rank the firms and give authorization to staff to begin negotiations with the top ranked firm.

On November 8, 2013 the MPO staff issued a Request for Proposals for providing General Legal Services to the MPO. The MPO received four proposals by the December 9, 2013 deadline that will now be ranked by the MPO's Executive Committee. The grading criteria, the scoring sheet and the four proposals are **attached**.

REVIEW OF THE END OF YEAR AUDIT FOR FY 2012/2013

RECOMMENDED ACTION:

Review and comment on the draft end of the year audit for FY 2012/2013. Staff of CliftonLarsonAllen LLP will be at the meeting to provide an overview of the audit.

**LEE COUNTY METROPOLITAN
PLANNING ORGANIZATION**

**BASIC FINANCIAL STATEMENTS
AND REGULATORY REPORTS**

YEAR ENDED JUNE 30, 2013

DRAFT

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
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INDEPENDENT AUDITORS' REPORT

Board of Directors
Lee County Metropolitan Planning Organization
Cape Coral, Florida

Report on the Financial Statements

We have audited the accompanying financial statements of the governmental activities and general fund of the Lee County Metropolitan Planning Organization (the MPO), as of and for the year ended June 30, 2013, and the related notes to the financial statements, which collectively comprise the entity's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

Opinions

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities and general fund of the MPO as of June 30, 2013, and the respective changes in financial position for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matters

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis, as listed on the table of contents, be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Information

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the MPO's basic financial statements. The schedule of expenditures of federal awards, as required by U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, is presented for purposes of additional analysis and is not a required part of the basic financial statements.

The schedule of expenditures of federal awards is the responsibility of management and was derived from and relate directly to the underlying accounting and other records used to prepare the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated, in all material respects, in relation to the basic financial statements as a whole.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated Report Date, on our consideration of the MPO's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the result of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the MPO's internal control over financial reporting and compliance.

CliftonLarsonAllen LLP

Fort Myers, Florida
Report Date

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MANAGEMENT'S DISCUSSION AND ANALYSIS

DRAFT

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
MANAGEMENT'S DISCUSSION AND ANALYSIS
YEAR ENDED JUNE 30, 2013**

The Lee County Metropolitan Planning Organization (the MPO) serves as the transportation planning agency for Lee County, Florida (the County). It is responsible for transportation planning in Bonita Springs, Cape Coral, Fort Myers, Fort Myers Beach, Sanibel, and unincorporated Lee County, Florida. The MPO's mission is to provide leadership in planning and promoting a comprehensive intermodal surface transportation system that will provide for regional mobility, encourage a positive investment climate and foster sustainable development sensitive to community and natural resources. The MPO receives funding from Federal Highway Administration, the Florida Department of Transportation, the State of Florida Commission for the Transportation Disadvantaged and the Federal Transit Administration.

The MPO's financial report presents a narrative overview and an analysis of the financial activities of the MPO as of and for the year ended June 30, 2013. The prior period information available is for the period December 21, 2011 through June 30, 2012. Prior to December 21, 2011, the MPO's operations were included within the net position and activities of the Southwest Florida Regional Planning Council, who had provided office space and administrative services to the MPO.

Financial Highlights

- The assets of the MPO exceeded its liabilities at June 30, 2013 by \$217,291 (net position). The unrestricted portion of \$211,513 may be used to meet the MPO's ongoing obligations to its constituents. The increase in net position is due primarily to unspent proceeds from local jurisdictional payments made to the MPO by the County and participating municipalities whom the MPO serves.
- As of June 30, 2013, the MPO's general fund reported an ending fund balance of \$240,096.

Overview of the Financial Statements

This discussion and analysis is intended to serve as an introduction to the MPO's basic financial statements. These basic statements consist of three sections: government-wide financial statements, fund financial statements, and notes to the financial statements.

Government-Wide Financial Statements

The government-wide financial statements provide both long-term and short-term information about the MPO's overall financial status. These statements use a format similar to a private sector business. They include a statement of net position and a statement of activities.

The statement of net position presents information on the MPO's assets and liabilities. Net position, the difference between these assets and liabilities, are a useful way to measure the MPO's financial health.

The statement of activities presents information showing how the MPO's net position changed during this fiscal year. All of the current year's revenues and expenses are accounted for in the statement of activities regardless of when cash is received or paid. This statement separates program revenue (charges for services, grants, and contributions) from general revenue (including taxes), which shows the extent to which each program must rely on taxes for funding.

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
MANAGEMENT'S DISCUSSION AND ANALYSIS
YEAR ENDED JUNE 30, 2013**

Overview of the Financial Statements (Continued)

Fund Financial Statements

Traditional users of governmental financial statements will find the fund financial statements presentation more familiar. A fund is a grouping of related accounts that is used to maintain control over resources that have been segregated for specific activities or objectives. The MPO, like other governments, uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements. The MPO uses a general fund to account for all activities of the MPO.

Governmental Funds

Governmental funds are used to account for essentially the same functions reported as governmental activities in the government-wide financial statements. However, this set of financial statements focuses on events that produce near-term inflows and outflows of spendable resources as well as on the balances of spendable resources available at the end of the fiscal year and is a narrower focus than the government-wide financial statements.

By comparing functions between the two sets of statements for governmental funds and governmental activities, readers may better understand the long-term impact of the government's near-term financing decisions. Both the governmental fund balance sheet and the governmental fund statement of revenues, expenditures, and changes in fund balance provide a reconciliation to facilitate this comparison.

Budgetary information is not included in the accompanying financial statements as the MPO is not required to legally adopt a budget for its General Fund.

Notes to Basic Financial Statements

The notes provide additional information that is essential to a full understanding of the data provided in the government-wide and fund financial statements. The notes to basic financial statements can be found on pages 14 through 20 of this report.

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
MANAGEMENT'S DISCUSSION AND ANALYSIS
YEAR ENDED JUNE 30, 2013**

Government-Wide Financial Analysis

As noted earlier, changes in net position over time can be a useful indicator of a government's financial position. As of fiscal year ended June 30, 2013, the assets of the MPO exceeded liabilities by \$217,291.

At the end of the current fiscal year, the MPO reported positive balances in all categories of net position.

Following is a summary of the MPO's net position as of June 30, 2013 and 2012:

	<u>2013</u>	<u>2012</u>
Cash	\$ 51,732	\$ 97,331
Grants Receivable	201,564	92,851
Prepaid Expenses	5,690	9,989
Capital Assets, Net	<u>5,778</u>	<u>9,080</u>
 Total Assets	 264,764	 209,251
 Accounts Payable	 6,895	 4,396
Accrued Payroll and Other Liabilities	11,995	11,700
Compensated Absences	<u>28,583</u>	<u>11,511</u>
 Total Liabilities	 <u>47,473</u>	 <u>27,607</u>
 Investment in Capital Assets	 5,778	 9,080
Unrestricted	<u>211,513</u>	<u>172,564</u>
 Total Net Position	 <u><u>\$ 217,291</u></u>	 <u><u>\$ 181,644</u></u>

Governmental activities increased the MPO's net position by \$35,647 during the year ended June 30, 2013.

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
MANAGEMENT'S DISCUSSION AND ANALYSIS
YEAR ENDED JUNE 30, 2013**

Government-Wide Financial Analysis (Continued)

Following is a summary of the MPO's statement of activities for the year ended June 30, 2013 and the period from December 21, 2011 through June 30, 2012:

REVENUES	2013	2012
Program Revenues:		
Transportation Grants	\$ 866,579	\$ 442,799
Intergovernmental	701	69,595
Total Revenues	867,280	512,394
 EXPENSES		
Transportation and General Government	829,737	380,750
 INCREASE IN NET POSITION	37,543	131,644
 Net Position, Beginning of Period	181,644	50,000
 NET POSITION, END OF PERIOD	\$ 219,187	\$ 181,644

The MPO obtains its grant revenue from several different sources. The MPO receives Federal Planning dollars (PL funding) for operations and planning tasks, Federal Transit Administration funding for transit planning activities, and State Transportation Disadvantaged Planning funds to fund the planning tasks involved with the Transportation Disadvantaged Program. The MPO also receives local government funding through assessments that are used to for general operations, as the federal and state grant programs listed above reimburse the MPO for specific expenditures. The MPO also seeks other state and federal grants to fund specific planning projects such as the Transportation Enhancement funds, which are used to help fund the Bicycle Pedestrian Master Plan.

Financial Analysis of the MPO's Fund

As noted earlier, the MPO uses fund accounting to ensure and demonstrate compliance with finance related legal requirements. Governmental funds provide information on near-term inflows, outflows, and balances of spendable resources. This information is useful in assessing the MPO's financing requirements.

The MPO reports a single governmental fund, which is the general fund. All of the MPO's fund balance in the general fund is either nonspendable or unassigned.

Other Economic Factors

The MPO is experiencing a decline in Federal Planning funds due to a reduction in planning funds in the federal transportation bill and the addition of two new urbanized areas in Florida that then reduces funds to the existing urbanized areas. For the fiscal year beginning July 1, 2013, the MPO planning funds have been reduced by \$51,575 (about 7% reduction for this funding source). This level of reduction in funding is what the MPO can expect until there is a new federal transportation bill enacted.

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
MANAGEMENT'S DISCUSSION AND ANALYSIS
YEAR ENDED JUNE 30, 2013**

Other Economic Factors (Continued)

The MPO is now accessing additional transit planning funds consistent with the agreement with Lee County, Florida and the Transportation Authority of Lee County, Florida (LeeTran). Up to twenty percent of the yearly allocation of Section 5303 funds to the MPO will be used for Lee MPO transit related projects. Based on the fiscal year 2014 funding allocation, this equates to \$53,000 in transit planning funding.

The Lee MPO has been awarded \$10,470,000 in TIGER grant funding from the United States Department of Transportation (USDOT) that will be used for the design and construction of bicycle, pedestrian and transit improvements. As part of this project, the MPO is also accessing an additional \$235,000 in Surface Transportation funds to assist in the upfront tasks related to getting this project started.

In addition, the MPO will also be conducting two transit planning projects funded with Surface Transportation Program funds in the amount of \$179,000. The first project is studying the feasibility of implementing bus queue jumps along US 41 and the second project is identifying bus pullout locations and standard design specifications for constructing them along current transit routes.

Requests for Information

This financial report is designed to provide a general overview of the Lee County Metropolitan Planning Organization's finances for all those with an interest in the government's finances. Questions concerning any of the information provided in this report or requests for additional financial information should be addressed as follows:

Don Scott
Executive Director
Lee County Metropolitan Planning Organization
815 Nicholas Parkway E.
Cape Coral, Florida 33915

BASIC FINANCIAL STATEMENTS

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**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
STATEMENT OF NET POSITION
JUNE 30, 2013**

	<u>Governmental Activities</u>
ASSETS	
Cash	\$ 51,732
Grants Receivable	201,564
Prepaid Expenses	5,690
Capital Assets, Net	<u>5,778</u>
Total Assets	264,764
LIABILITIES	
Accounts Payable	6,895
Accrued Payroll and Other Liabilities	11,995
Due in More than One Year: Compensated Absences	<u>28,583</u>
Total Liabilities	<u>47,473</u>
NET POSITION	
Investment in Capital Assets Unrestricted	<u>5,778</u> <u>211,513</u>
Total Net Position	<u><u>\$ 217,291</u></u>

See accompanying Notes to Basic Financial Statements.

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
STATEMENT OF ACTIVITIES
YEAR ENDED JUNE 30, 2013**

	Governmental Activities
PROGRAM EXPENSES	
Transportation:	
Personal Services	\$ 343,352
Operating Expenses	485,710
Depreciation	3,302
Total Program Expenses	832,364
PROGRAM REVENUES	
Charges for Services	70,034
Transportation Grants	797,246
Total Program Revenues	867,280
GENERAL REVENUES	
Miscellaneous	731
	731
INCREASE IN NET POSITION	35,647
Net Position, Beginning of Year	181,644
	181,644
NET POSITION, END OF YEAR	\$ 217,291

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See accompanying Notes to Basic Financial Statements.

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
BALANCE SHEET – GOVERNMENTAL FUNDS
JUNE 30, 2013**

	<u>General Fund</u>
ASSETS	
Cash	\$ 51,732
Grants Receivable	201,564
Prepaid Expenses	<u>5,690</u>
Total Assets	<u><u>\$ 258,986</u></u>
LIABILITIES AND FUND BALANCES	
LIABILITIES	
Accounts Payable	\$ 6,895
Accrued Payroll and Other Liabilities	11,995
Total Liabilities	<u>18,890</u>
FUND BALANCES	
Nonspendable	5,690
Unassigned	<u>234,406</u>
Total Fund Balances	<u>240,096</u>
Total Liabilities and Fund Balances	<u><u>\$ 258,986</u></u>

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See accompanying Notes to Basic Financial Statements.

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
RECONCILIATION OF THE BALANCE SHEET – GOVERNMENTAL FUNDS
TO THE STATEMENT OF NET POSITION
JUNE 30, 2013**

Total Governmental Fund Balance \$ 240,096

Amounts reported for governmental activities in the Statement of Net Position are different because:

Capital assets used in governmental activities are not financial resources and, therefore, are not reported in the fund statements 5,778

Long-term liabilities, including compensated absences, are not due and payable in the current period and, therefore, are not reported in the fund statements (28,583)

Net Position of Governmental Activities \$ 217,291

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**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
STATEMENT OF REVENUES, EXPENDITURES, AND
CHANGES IN FUND BALANCE – GOVERNMENTAL FUNDS
YEAR ENDED JUNE 30, 2013**

	<u>General Fund</u>
REVENUES	
Transportation Grants	\$ 797,246
Intergovernmental	70,034
Other Revenue	731
Total Revenues	<u>868,011</u>
EXPENDITURES	
Current:	
Personal Services	326,280
Operating Expenditures	485,710
Total Expenditures	<u>811,990</u>
NET CHANGE IN FUND BALANCE	56,021
Fund Balance, Beginning of Year	<u>184,075</u>
FUND BALANCE, END OF YEAR	<u><u>\$ 240,096</u></u>

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See accompanying Notes to Basic Financial Statements.

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
RECONCILIATION OF THE STATEMENT OF REVENUES, EXPENDITURES
AND CHANGES IN FUND BALANCES – GOVERNMENTAL FUNDS
TO THE STATEMENT OF ACTIVITIES
YEAR ENDED JUNE 30, 2013**

Net Change in Fund Balance - Governmental Funds	\$	56,021
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Amounts reported for governmental activities in the Statement of Activities are different because:

Governmental funds report capital outlays as expenditures. However, in the Statement of Activities, the cost of those assets is allocated over their estimated useful lives and reported as depreciation expense.

Depreciation Expense During Year		(3,302)
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Some expenses reported in the Statement of Activities do not require the use of current financial resources and, therefore, are not reported as expenditures in the fund statements

Change in Compensated Absences During Year		<u>(17,072)</u>
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Change in Net Position of Governmental Activities	\$	<u><u>35,647</u></u>
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NOTES TO BASIC FINANCIAL STATEMENTS

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LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
NOTES TO BASIC FINANCIAL STATEMENTS
JUNE 30, 2013

NOTE 1 ORGANIZATION AND REPORTING ENTITY

The Lee County Metropolitan Planning Organization (the MPO), was established in 1977 following the passage of the Federal Highway Act of 1974. The MPO is authorized pursuant to Florida Statutes, Section 339.175. Historically the MPO's operations were included within the net position and activities of the Southwest Florida Regional Planning Council, who had provided office space and administrative services to the MPO. On December 21, 2011, the MPO separated from the Southwest Florida Regional Planning Council and became an independent entity.

The task of the MPO is to develop plans, policies and priorities that guide local decision making on transportation issues. Principal responsibilities include the development of a 20-year Long Range Transportation Plan (LRTP), a five-year Transportation Improvement Program (TIP) and related transportation planning studies and projects.

The MPO is governed by a sixteen member board of elected officials representing municipal governments and the Lee County Board of County Commissioners. The Florida Department of Transportation (FDOT) District 1 Office is also represented on the board by the District Secretary or designee who is a non-voting member. The MPO's Executive Director oversees the MPO's daily operations and reports to the board. The MPO has considered any entities for which it has oversight, and there are none meeting the criteria for inclusion in their financial statements.

NOTE 2 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation

The financial statements of the MPO have been prepared in conformity with accounting principles generally accepted in the United States of America (GAAP) as applied to government units. GASB is the accepted standard-setting body for establishing governmental accounting and financial reporting principles in the United States of America. The more significant of the government's accounting policies are described below.

Government-Wide and Fund Financial Statements

The government-wide financial statements (i.e., the statement of net position and the statement of activities) report information on all activities of the government. The MPO only has governmental activities and does not engage in any business-type activities.

The statement of activities demonstrates the degree to which the direct expenses of a given function or segment is offset by program revenues. Direct expenses are those that are clearly identifiable with a specific function or segment. Program revenues include operating grants and intergovernmental revenues supplied by Lee County, Florida (the County) and municipalities within the County. General revenues include investment earnings, miscellaneous income, and other revenues not considered to directly support program activities.

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
NOTES TO BASIC FINANCIAL STATEMENTS
JUNE 30, 2013**

NOTE 2 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Government-Wide and Fund Financial Statements (Continued)

Separate financial statements are provided for governmental funds. Fund financial statements are presented for the MPO's general fund.

Measurement Focus, Basis of Accounting, and Financial Statement Presentation

The government-wide financial statements are reported using the economic resources measurement focus and the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded when a liability is incurred, regardless of the timing of related cash flows. Grants and similar items are recognized as revenue as soon as all eligibility requirements imposed by the provider have been met.

Governmental fund financial statements are reported using the current financial resources measurement focus and the modified accrual basis of accounting. Revenues are recognized as soon as they are both measurable and available.

Revenues are considered to be available when they are collectible within the current period or soon enough thereafter to pay liabilities of the current period. For this purpose, the MPO considers revenues to be available generally if they are collected within 60 days of the end of the current fiscal period, unless collections are delayed beyond a normal time of receipt due to unusual circumstances. Expenditures generally are recorded when a liability is incurred, as under accrual accounting.

Budgets

Budgetary information is not included in the accompanying financial statements as the MPO is not required to legally adopt a budget for its general fund.

The MPO prepares a Unified Planning Work Program (UPWP) every two years, which identifies the planning budget and planning activities to be undertaken within the following four categories: administration, systems monitoring, systems planning, and project planning. The MPO is required to have the UPWP approved and submitted to the Florida Department of Transportation by May 15th of every other year.

Deposits and Investments

Cash includes amounts on hand and in demand deposit accounts. The MPO does not have a written investment policy. Rather, it has adopted the guidelines for the investment of public funds in excess of amounts needed to meet current operating expenses, in accordance with Section 218.415, Florida Statutes. As of June 30, 2013, the MPO had no investments.

Receivables

No substantial losses are anticipated from present receivable balances, therefore, no allowance for uncollectible accounts is deemed necessary.

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
NOTES TO BASIC FINANCIAL STATEMENTS
JUNE 30, 2013**

NOTE 2 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Capital Assets

Capital assets are reported in governmental activities in the government-wide financial statements. Capital assets are recorded at their historical cost if purchased. Donated capital assets are recorded at estimated market value at the date of donation. For the fund financial statements capital assets are not capitalized in the funds used to acquire or construct them. Instead, capital acquisition and construction are reflected as expenditures in governmental funds.

The costs of normal maintenance and repairs that do not add to the value of the asset or materially extend asset lives are not capitalized.

The MPO's computer software and equipment are depreciated using the straight-line method over the following estimated useful lives:

<u>Assets</u>	<u>Estimated Useful Life</u>
Office Equipment	5
Computer Software & Equipment	3

Compensated Absences

It is the MPO's policy to permit regular full-time and regular part-time employees to accumulate earned but unused vacation benefits, which will be paid to employees upon separation from service if they meet certain criteria. Compensated absences are accrued on an hourly basis per bi-weekly pay period based on number of years of continuous service; the liability for compensated absences is reported in the government-wide financial statements, which generates a reconciling item between the governmental funds and the government-wide financial statement presentation. A total of \$34,571 of vacation benefits were earned, \$17,498 were used, and \$11,511 were rolled forward from the previous year, resulting in an ending compensated absences balance of \$28,583 for the year ended June 30, 2013.

Fund Equity

Governmental fund equity is classified as fund balance. Nonspendable fund balances are balances that cannot be spent because they are either (a) not in spendable form or; (b) legally contractually required to be maintained intact. Spendable fund balances are further segregated into five separate categories, based on a hierarchy of spending constraints.

- Restricted: Amounts that can be spent only for the specific purposes stipulated by: (a) external resource providers (i.e., granting agencies such as Florida Department of Transportation, Commission for the Transportation Disadvantaged, Federal Highway Administration, Federal Transit Administration or similar external entities); or (b) imposed by law through constitutional provisions or enabling legislation.

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
NOTES TO BASIC FINANCIAL STATEMENTS
JUNE 30, 2013**

NOTE 2 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Fund Equity (Continued)

- **Committed:** Amounts that can be used only for the specific purposes determined by a formal action of the MPO's governing board, the MPO's highest level of decision-making authority. Commitments may be changed or lifted only by the MPO's governing board taking the same formal action that imposed the constraint originally.
- **Assigned:** Amounts that include spendable fund balance amounts established by the Executive Director of the MPO that are intended to be used for a specific purpose that are neither considered restricted or committed.
- **Unassigned:** This classification represents fund balance that has not been restricted, committed, or assigned to specific purposes. The MPO's entire spendable fund balance in the general fund is classified as unassigned.

Although the MPO does not have a formal spending prioritization policy, it is assumed that in instances when expenditures are incurred for purposes for which amounts in either restricted or unrestricted fund balance classifications could be used, restricted fund balance would be spent first. Remaining unrestricted fund balance would be spent as follows: committed amounts would be reduced first, followed by assigned amounts, and then unassigned.

Net Position

Net position represents the difference between assets and liabilities in the government-wide financial statements. Net position invested in capital assets consists of capital assets, net of accumulated depreciation. The MPO does not have any related long-term debt used to acquire capital assets. Net position is reported as restricted in the government-wide financial statements when there are limitations imposed on their use through external restrictions imposed by creditors, grantors, laws or regulations of other governments.

New Accounting Pronouncements - For the year ended June 30, 2013, the MPO's financial statements include the impact of adoption of Governmental Accounting Standards Board *Statement* (GASBS) numbers 62 and 63.

GASBS 62, *Codification of Accounting and Financial Reporting Guidance Contained in Pre-November 30, 1989 FASB and AICPA Pronouncements*, supersedes GASBS 20. GASBS 20 gave governments the choice to elect to follow only the authoritative literature of the Governmental Accounting Standards Board (GASB) or to follow Financial Accounting Standards Board (FASB) and American Institute of Certified Public Accountants (AICPA) pronouncements that did not conflict with GASB pronouncements. Upon adoption of GASBS 62, all governmental accounting guidance is codified into the GASB literature.

GASBS 63, *Financial Reporting of Deferred Outflows of Resources, Deferred Inflows of Resources, and Net Position*, provides guidance on reporting deferred outflows and inflows of resources. It also renames the residual of all other amounts presented in the statement of financial position from "net assets" to "net position." The special-purpose financial statements include the statement of position, which reports all assets, deferred outflows of resources, liabilities, deferred inflows of resources, and net position, as applicable

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
NOTES TO BASIC FINANCIAL STATEMENTS
JUNE 30, 2013**

NOTE 3 DEPOSITS

At June 30, 2013, the book balance of the MPO's deposits was \$51,732, and the bank balance was \$114,093. The difference between book and bank balances is due to outstanding checks.

The bank balance is insured by federal depository insurance and, for any amount in excess of such federal depository insurance, is collateralized pursuant to Chapter 280, Florida Statutes. Under this Chapter, in the event of default by a participating financial institution (a qualified public depository), all participating institutions are obligated to reimburse the government for the loss.

NOTE 4 CAPITAL ASSETS

Capital asset activity for the year ended June 30, 2013 consisted of depreciation of computer software that was purchased in the prior year for \$9,905. Accumulated depreciation as of June 30, 2013 relating to software was \$4,127.

NOTE 5 JOINT PARTICIPATION AGREEMENT FOR FEDERAL TRANSIT

The Federal Transit Administration (FTA) Section 5303 funds are allocated to the MPO for transit planning tasks that are identified in the MPO's Unified Planning Work Program (UPWP) and the MPO's annual approved FTA grant application. Over the years, the MPO has passed these funds through to Transportation Authority of Lee County, Florida (LeeTran) to conduct the various transit planning tasks identified in the UPWP. The MPO has worked closely with LeeTran to identify the tasks that the funding will be used for along with monitoring the activities with the review of the progress reports and invoices. During the year ended June 30, 2013, all of the 5303 funds that have been billed were conducted by LeeTran and that is why the revenue and expenses for this funding is recorded to the MPO's financial statements in the same amount.

NOTE 6 PENSION PLAN – FLORIDA RETIREMENT SYSTEM

Plan Description

All of the MPO's employees are eligible to participate in the Florida Retirement System (the FRS), administered by the State of Florida Department of Administration. Employees elect participation in either the defined benefit plan (the Pension Plan), which is a multiple-employer cost-sharing defined benefit retirement plan; or the defined contribution plan (the Investment Plan) under the FRS. As a general rule, membership in the FRS is compulsory for all employees working in a regularly established position for a state agency, county government, district school board, state university, community college or a participating city or special district within the State of Florida. The FRS provides retirement and disability benefits, annual cost-of-living adjustments and death benefits to plan members and beneficiaries.

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
NOTES TO BASIC FINANCIAL STATEMENTS
JUNE 30, 2013**

NOTE 6 PENSION PLAN – FLORIDA RETIREMENT SYSTEM (CONTINUED)

Plan Description (Continued)

Benefits are established by Chapter 121, Florida Statutes and Chapter 60S, Florida Administrative Code. Amendments to the law can be made only by an act of the Florida Legislature.

Benefits are computed on the basis of age, average final compensation and service credit. Regular class employees who retire at or after age 65 with 8 years of credited service (age 62 with 6 years of service enrolled prior to July 1, 2011) or 33 years of service (30 years of service if enrolled prior to July 1, 2011) regardless of age are entitled to a retirement benefit payable monthly for life equal to 1.6% - 1.68%, depending on their service class, of their average final compensation for each year of credited service. Final average compensation is the employee's average of the five highest years of salary earned during credited service (eight highest years of salary if initially enrolled on or after July 1, 2011). Vested employees with less than 33 years of service (30 years of service if enrolled prior to July 1, 2011) may retire before normal retirement age and receive a reduced benefit of 5% for each year prior to normal retirement age or date. A post-employment health insurance subsidy is also provided to eligible retired employees through the FRS in accordance with Florida Statutes.

For employees who elect participation in the Investment Plan rather than the Pension Plan, vesting occurs at one year of service. These participants receive a contribution for self-direction in an investment product with a third party administrator selected by the State Board of Administration.

The Deferred Retirement Option Program (DROP) is a program that provides an alternative method of payment of retirement benefits for a specified and limited period for members of the FRS, effective July 1, 1998. Under this program, the employee may retire and have their benefits accumulate in the Florida Retirement System Trust Fund, earning interest, while continuing to work for an FRS employer. The participation in the program does not change conditions of employment. When the DROP period ends, a maximum of 60 months, employment must be terminated. At the time of termination of employment, the employee will receive payment of the accumulated DROP benefits, and begin receiving their monthly retirement benefit (in the same amount determined at retirement).

The State of Florida issues a publicly available financial report that includes financial statements and required supplementary information for the FRS. The most recent available report is for the plan year ended June 30, 2012. This report may be obtained by writing to the State of Florida Division of Retirement, Department of Management Services, Office of the Secretary, P.O. Box 9000, Tallahassee, FL 32315-9000, by calling (866) 738-2366, or by accessing their Internet site at https://www.rol.frs.state.fl.us/forms/2011-12_Annual_Report.pdf. In addition, the System's financial statements and required supplemental information are included in the comprehensive annual financial report of the State of Florida, which may be obtained by contacting Florida's Chief Financial Officer in Tallahassee, Florida.

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
NOTES TO BASIC FINANCIAL STATEMENTS
JUNE 30, 2013**

NOTE 6 PENSION PLAN – FLORIDA RETIREMENT SYSTEM (CONTINUED)

Plan Description (Continued)

Funding Policy – The FRS requires a 3% contribution from members effective July 1, 2011. Governmental employers are required to make contributions to the FRS based upon statewide rates. The FRS establishes contributions by the state fiscal year, which begins July 1. For the year ending June 30, 2013, the contribution rates, by job class, were regular employees 5.18%, elected officials 10.23%, senior management 6.30%, and DROP participants 5.44%.

For the year ended June 30, 2013 and the period from December 21, 2011 to June 30, 2012, the MPO's contributions to the plan were \$16,508 and \$5,230, respectively, equal to the actuarially determined contribution requirement.

NOTE 7 DEFERRED COMPENSATION PLAN

The MPO's employees can voluntarily participate in the MPO's Deferred Compensation Plan. The MPO offers a deferred compensation plan created in accordance with the Internal Revenue Code Section 457. The plan, available to all MPO employees, permits them to defer a portion of their salary until future years. The deferred compensation is not available to employees until termination, retirement, death or unforeseeable emergency. The employee contributions to the plan were \$18,792 for the year ended June 30, 2013. The MPO does not make any contributions on behalf of employees in this plan.

NOTE 8 COMMITMENTS

The MPO has entered into contracts with several transportation engineering firms and planning consultants in order to fulfill the work programs under various grants administered by the State of Florida. Uncompleted portions of these contracts, which are not required to be fully spent as of June 30, 2013 total approximately \$116,000. Although these contracts represent commitments of the MPO, the great majority of revenues expended under these will, in turn, be reimbursable under grants already awarded to the MPO.

In December 2011, the MPO entered into a four-year lease agreement for office space with the City of Cape Coral, Florida. At expiration of the term, the lease will automatically renew for one-year terms. Either party may terminate the lease agreement with at least six months notice in writing at any time during the lease term. The MPO's scheduled rent payments are \$300 per month, paid on or before the first day of every month throughout the lease term. Future minimum rental payments are \$3,600 per year for the remainder of the lease term.

SUPPLEMENTARY INFORMATION

DRAFT

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2013**

	Federal CFDA Number	Federal Grant Number	FPN/ State Number	Program Expenditures	Transfers to Subrecipients
FEDERAL GRANTOR / PASS THROUGH GRANTOR AWARD					
<i>U.S. Department of Transportation - Federal Highway Administration</i>					
<u>Pass through Florida Department of Transportation:</u>					
Highway Planning and Construction Metropolitan Planning Program Federal Section 112 (PL) Funds	20.205	A5176	420891-1-14-01	\$ 625,365	\$ -
Highway Planning and Construction Metropolitan Transportation Planning Section 5303	20.505	AQR15	410115-1-14	37,080	37,080
Total Federal Awards				\$ 662,445	\$ 37,080

DRAFT

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2013**

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The accounting policies and presentation of the schedule of expenditures of federal awards of the Lee County Metropolitan Planning Organization (the MPO) have been designed to conform to the accounting principles generally accepted in the United States of America, and the reporting and compliance requirements of U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations* (OMB Circular A-133).

Reporting Entity

Federal awards received directly from federal agencies, the State of Florida or pass-through entities are included to satisfy the audit requirements of OMB Circular A-133. The schedule of expenditures of federal awards includes all federal awards that the MPO earned for the year ended June 30, 2013. The MPO also expended \$132,671 of state financial assistance for the year ended June 30, 2013. However, a schedule of expenditures of state financial assistance is not required to be included because the MPO did not expend greater than \$500,000 throughout the fiscal year, as stipulated under Section 215.97, Florida Statutes, and Chapter 10.550, Rules of the Auditor General of the State of Florida.

Below represents a breakdown by project of state financial assistance expended for the year ended June 30, 2013:

STATE FINANCIAL ASSISTANCE	CSFA Number	State Project Number	FPN/State No.	Project Expenditures
<i>State of Florida Commission for the Transportation Disadvantaged</i>				
<u>Direct Program:</u>				
Planning Grant	55.002	AQO49	40324721401	29,557
County Incentive Grant Program				
Rail Feasibility Study	55.005			103,114
Total State Financial Assistance				132,671

Basis of Accounting

Basis of accounting refers to when expenditures are recognized in the accounts and reported in the financial statements. Basis of accounting relates to the timing of the measurements made, regardless of the measurement focus applied. The accrual basis of accounting is followed for the schedule of expenditures of federal awards and state financial assistance.

NOTE 2 CONTINGENCIES

Grant monies received and disbursed by the MPO are for specific purposes and are subject to review and audit by the grantor agencies. Such audits may result in requests for reimbursement due to disallowed expenditures. Based upon prior experience, the MPO does not believe that such disallowances, if any, would have a material effect on the financial position of the MPO.

REGULATORY REPORTS

DRAFT



**INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING
AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL
STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

Board of Directors
Lee County Metropolitan Planning Organization
Cape Coral, Florida

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities and the general fund of Lee County Metropolitan Planning Organization (the MPO), as of and for the year ended June 30, 2013, and the related notes to the financial statements, which collectively comprise the MPO's basic financial statements, and have issued our report thereon dated Report Date.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the MPO's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the MPO's internal control. Accordingly, we do not express an opinion on the effectiveness of the MPO's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified. We did identify certain deficiencies in internal control, described in the accompanying schedule of findings and questioned costs as 2013-01 and 2013-02, that we consider to be significant deficiencies.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the MPO's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Lee County Metropolitan Planning Organization's Response to Finding

The MPO's responses to the findings identified in our audit are described in the accompanying schedule of findings and questioned costs. The MPO's responses were not subjected to the auditing procedures applied in the audit of the financial statements and, accordingly, we express no opinion on them.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the result of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

CliftonLarsonAllen LLP

Fort Myers, Florida
Report Date



INDEPENDENT AUDITORS' REPORT ON COMPLIANCE WITH REQUIREMENTS THAT COULD HAVE A DIRECT AND MATERIAL EFFECT ON EACH MAJOR FEDERAL PROGRAM AND ON INTERNAL CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133

Board of Directors
Lee County Metropolitan Planning Organization
Cape Coral, Florida

Report on Compliance for the Major Federal Program

We have audited Lee County Metropolitan Planning Organization's compliance with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on its major federal program for the year ended June 30, 2013. Lee County Metropolitan Planning Organization's major federal program is identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal program.

Auditors' Responsibility

Our responsibility is to express an opinion on compliance for each of Lee County Metropolitan Planning Organization's major federal program based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about Lee County Metropolitan Planning Organization's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for the major federal program. However, our audit does not provide a legal determination of Lee County Metropolitan Planning Organization's compliance.

Opinion on the Major Federal Program

In our opinion, Lee County Metropolitan Planning Organization complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on its major federal program for the year ended June 30, 2013.

Report on Internal Control Over Compliance

Management of Lee County Metropolitan Planning Organization is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered Lee County Metropolitan Planning Organization's internal control over compliance with the types of requirements that could have a direct and material effect on its major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for its major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of Lee County Metropolitan Planning Organization's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the result of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

CliftonLarsonAllen LLP

Fort Myers, Florida
Report Date

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
YEAR ENDED JUNE 30, 2013**

SECTION I – SUMMARY OF AUDITORS’ RESULTS

<u>Financial Statements</u>	<u>Results</u>
Type of auditors’ report issued:	Unmodified
Internal control over financial reporting:	
Material weakness(es) identified?	No
Significant deficiencies identified not considered to be a material weakness(es)	Yes
Noncompliance material to financial statements noted?	No

<u>Awards</u>	<u>Federal</u>
Internal control over major programs:	
Material weakness(es) identified?	No
Significant deficiencies identified not considered to be a material weakness(es)	None reported
Type of auditors’ report issued on compliance for major programs?	Unmodified
Any audit findings disclosed that are required to be reported in accordance with – Circular A-133 section .501 (a)	No

Identification of Major Programs

<u>Federal</u>	<u>Name of Program or Cluster</u>
CFDA 20.205	Highway Planning and Construction
<u>Federal</u>	
Dollar threshold used to distinguish between Type A and Type B programs:	\$300,000
Auditee qualified as low-risk auditee?	No

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)
YEAR ENDED JUNE 30, 2013**

SECTION II – FINANCIAL STATEMENT FINDINGS

This section identifies the material weaknesses, significant deficiencies, and instances of noncompliance related to the financial statements that are required to be reported in accordance with *Government Auditing Standards*.

CURRENT YEAR COMMENTS

2013-01 Bank Reconciliation Procedures

Criteria

The MPO is responsible for ensuring the proper preparation and review of month-end bank reconciliations.

Condition

Monthly bank reconciliations are being prepared but not reviewed by the MPO's management.

Cause

The monthly bank reconciliations that are prepared by the MPO's accountant are not being provided to the Executive Director for review.

Effect

Ending cash balance per general ledger could potentially be missing certain reconciling items, such as outstanding checks, and therefore could be misstated as of June 30, 2013.

Recommendation

We recommend that the MPO obtain monthly bank reconciliations provided by the MPO's accountant and review to ensure that the reconciliation has been properly prepared and is complete and accurate.

Views of Responsible Officials

The MPO's accountant provided monthly bank reconciliations for a period of time but then experienced a staffing change and those ended. The MPO staff is currently conducting their own reconciliation analysis but we will seek to get this back to the accountant.

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)
YEAR ENDED JUNE 30, 2013**

SECTION II – FINANCIAL STATEMENT FINDINGS (CONTINUED)

CURRENT YEAR COMMENTS (CONTINUED)

2013-02 Year-End Closing Procedures

Criteria

The MPO is responsible for the accuracy and completeness of all financial records and related information, including properly recording accruals of revenues and expenses at the end of the reporting period.

Condition

Significant adjustments were required to appropriately present the MPO's financial statements.

Cause

During the performance of our audit procedures, we noted that the beginning balance of net position did not agree to ending balance of net position reflected on the prior year audited financial statements. Adjustments to net position and various revenue and expense accounts were required to correct this error.

Effect

Several accounts were misstated as of June 30, 2013. These misstatements were subsequently corrected as a result of audit procedures.

Recommendation

We recommend that the MPO strengthens its year-end closing process to include additional reviews of all accounts that would have a material impact on the financial statements throughout the year. The review should include verification that 1) net position reported within the trial balance provided by the MPO's accountant agrees to the net position balance reported within the prior year audited financial statements and 2) federal and state grant revenues reported within the trial balance reconcile to the federal and state grant expenditures reported on the schedule of expenditures of federal awards and state financial assistance prepared by the Executive Director.

Views of Responsible Officials

We concur that the year-end review should include the verification of the net position reported within the trial balance agrees with the net position balance reported within the prior year audited financial statements. And we concur that the end of the year review should include the verification and reconciliation of the federal and state grant revenues reported within the trial balance to the schedule of expenditures of federal awards and state financial assistance prepared by the Executive Director.

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)
YEAR ENDED JUNE 30, 2013**

SECTION III – FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS

This section identifies the audit findings required to be reported by Section .501(a) of Circular A-133 as well as any abuse finding involving federal awards that is material to a major program.

CURRENT YEAR COMMENTS

There were no such instances to be reported.

PRIOR YEAR FINDINGS AND QUESTIONED COSTS –

PART III – MAJOR FEDERAL PROGRAMS

There were no such instances to be reported.

DRAFT



**MANAGEMENT LETTER BASED ON RULE 10.554(1)(i) OF THE
AUDITOR GENERAL OF THE STATE OF FLORIDA**

Board of Directors
Lee County Metropolitan Planning Organization
Cape Coral, Florida

We have audited the financial statements of Lee County Metropolitan Planning Organization (the MPO), as of and for the fiscal year ended June 30, 2013, and have issued our report thereon dated Report Date.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; OMB Circular A-133, *Audits of State and Local Governments, and Non-Profit Organizations*; and Chapter 10.550, Rules of the Florida Auditor General. We have issued our Independent Auditor's Report on Internal Control over Financial Reporting and Compliance and Other Matters Based on an Audit of the Financial Statements Performed in Accordance with *Government Auditing Standards*, Independent Auditor's Report on Compliance with Requirements that Could Have a Direct and Material Effect on Each Major Federal Program and on Internal Control Over Compliance in Accordance with OMB Circular A-133, and Schedule of Findings and Questioned Costs. Disclosures in those reports and schedule, which are dated Report Date, should be considered in conjunction with this management letter.

Additionally, our audit was conducted in accordance with Chapter 10.550, Rules of the Auditor General, which governs the conduct of local governmental entity audits performed in the State of Florida. This letter includes the following information, which is not included in the aforementioned auditors' report or schedule:

- Section 10.554(1)(i)1., Rules of the Auditor General, requires that we determine whether or not corrective actions have been taken to address findings and recommendations made in the preceding annual financial audit report. Refer to Appendix A – Prior Year Findings and Recommendations, which addresses whether corrective actions have been taken to address findings and recommendations made in the preceding annual financial report.
- Section 10.554(1)(i)2., Rules of the Auditor General, requires our audit to include a review of the provisions of Section 218.415, Florida Statutes, regarding the investment of public funds. In connection with our audit, we determined that the MPO complied with Section 218.415, Florida Statutes.
- Section 10.554(1)(i)3., Rules of the Auditor General, requires that we address in the Management Letter any recommendations to improve financial management. In connection with our audit, we did not have any such recommendations.

- Section 10.554(1)(i)4., Rules of the Auditor General, requires that we address noncompliance with provisions of contracts or grant agreements, or abuse, that have occurred, or are likely to have occurred, that have an effect on the financial statements that is less than material but warrants the attention of those charged with governance. In connection with our audit, we did not have any such findings.
- Section 10.554(1)(i)5., Rules of the Auditor General, requires that the name or official title and legal authority for the primary government and each component unit of the reporting entity be disclosed in this management letter, unless disclosed in the notes to the financial statements. This information has been included in the notes to the basic financial statements.
- Section 10.554(1)(i)6.a., Rules of the Auditor General, requires a statement be included as to whether or not the local governmental entity has met one or more of the conditions described in Section 218.503(1), Florida Statutes, and identification of the specific condition(s) met. In connection with our audit, we determined that the MPO did not meet any of the conditions described in Section 218.503(1), Florida Statutes.
- Section 10.554(1)(i)6.b., Rules of the Auditor General, requires that we determine whether the annual financial report for the MPO for the fiscal year ended June 30, 2013, filed with the Florida Department of Financial Services pursuant to Section 218.32(1)(a), Florida Statutes, is in agreement with the annual financial audit report for the fiscal year ended June 30, 2013. In connection with our audit, we determined that these two reports were in agreement.
- Pursuant to Sections 10.554(1)(i)6.c. and 10.556(7), Rules of the Auditor General, we applied financial condition assessment procedures. It is management's responsibility to monitor the MPO's financial condition, and our financial condition assessment was based in part on representations made by management and the review of financial information provided by same.

Our management letter is intended solely for the information and use of the Legislative Auditing Committee, members of the Florida Senate and the Florida House of Representatives, the Florida Auditor General, Federal and other granting agencies, the MPO's Board of Directors, and applicable management, and is not intended to be and should not be used by anyone other than these specified parties.

CliftonLarsonAllen LLP

Fort Myers, Florida
Report Date

**LEE COUNTY METROPOLITAN PLANNING ORGANIZATION
APPENDIX A – PRIOR YEAR FINDINGS AND RECOMMENDATIONS
YEAR ENDED JUNE 30, 2013**

Prior Year Findings		Current Year Status		
		Cleared	Partially Cleared	Not Cleared
Finding Reference #	Comment			
2012-01	See 2013-01 in the Schedule of Findings and Questioned Costs		X	
MLC 2012-01		X		

DRAFT

COMPLETE AND APPROVE THE EXECUTIVE DIRECTORS EVALUATION

RECOMMENDED ACTION: To complete and approve an evaluation of the Executive Director.

When the MPO became an independent entity, the MPO staff developed an evaluation for the Executive Committee to use on a yearly basis. The evaluation includes five main evaluation areas that the Director will be scored on as well as providing the opportunity for the Board members to identify any goals for the next year or areas for improvement. Last year, one of the Executive Committee Board members recommended that the Executive Director fill out a self-evaluation and provide that to the members (this filled out form is **attached**). Staff is asking the Committee members to fill out the evaluation prior to the meeting and then bring it to the meeting where the results of the review will be discussed.

Executive Director PERFORMANCE EVALUATION FORM

Employee Name: Donald Scott

Evaluation Date: January 8, 2014

Each Board Member is to give some thought to the Executive Director's performance over the last year. For each of the evaluation categories, bullet points have been provided to assist you with the evaluation.

Prior to the January 8, 2014 MPO Executive Committee meeting, please make note of your observations using this form and bring it with you to the meeting. At the meeting, the Board will reach a consensus on the ratings and comments for each category in order to "speak with one voice."

The Employee will be evaluated on the following areas:

- Leadership
- Management
- Communications
- Policy Matters
- Staff Development

Executive Director PERFORMANCE EVALUATION FORM

Leadership

Rating: _____

5 - Outstanding, 4 - Above Average, 3 - Satisfactory, 2 - Needs Improvement, 1 - Unacceptable

-
- Inspires confidence, establishes credibility with Board, Staff, committee's, public and others
 - Maintains a "*BIG PICTURE*" outlook and is aware of MPO and transportation issues
 - Exhibits diligence in leading the organization
 - Forecasts trends, responds to change, and invites innovation
 - Solicits and acts upon ideas of others when appropriate
 - Provides direction and support to the Board regarding its obligations and role
 - Demonstrates excellence in carrying out job responsibilities and accomplishing goals
 - Engages in learning and growth activities to improve job performance
 - Participates in relevant and worthwhile professional organizations

General Comments or Examples:

Significant Accomplishments:

Areas Needing Improvement/Development Goals:

Executive Director PERFORMANCE EVALUATION FORM

Employee Name: Donald Scott

Evaluation Date: January 8, 2014

Each Board Member is to give some thought to the Executive Director's performance over the last year. For each of the evaluation categories, bullet points have been provided to assist you with the evaluation.

Prior to the January 8, 2014 MPO Executive Committee meeting, please make note of your observations using this form and bring it with you to the meeting. At the meeting, the Board will reach a consensus on the ratings and comments for each category in order to "speak with one voice."

The Employee will be evaluated on the following areas:

- Leadership
- Management
- Communications
- Policy Matters
- Staff Development

Executive Director PERFORMANCE EVALUATION FORM

Leadership

Rating: 4

5 - Outstanding, 4 - Above Average, 3 - Satisfactory, 2 - Needs Improvement, 1 - Unacceptable

- Inspires confidence, establishes credibility with Board, Staff, committee's, public and others
- Maintains a "*BIG PICTURE*" outlook and is aware of MPO and transportation issues
- Exhibits diligence in leading the organization
- Forecasts trends, responds to change, and invites innovation
- Solicits and acts upon ideas of others when appropriate
- Provides direction and support to the Board regarding its obligations and role
- Demonstrates excellence in carrying out job responsibilities and accomplishing goals
- Engages in learning and growth activities to improve job performance
- Participates in relevant and worthwhile professional organizations

General Comments or Examples:

Bringing in outside the area presentations to get good planning ideas to use for our planning process.

Speaking at various organizations, involved in statewide committee's including performance measurement committee and statewide review of Needs Plans.

Participate in training opportunities including American Planning Association (APA) continuing education, federal webinars, statewide training (work program etc.).

Executive Director PERFORMANCE EVALUATION FORM

Management

Rating: 3.5

5 - Outstanding, 4 - Above Average, 3 - Satisfactory, 2 - Needs Improvement, 1 - Unacceptable

- Manages the MPO's activities consistent with relevant laws, policies and procedures
- Develops reasonable budgets, communicates them to the Board, and operates within budgetary limits
- Ensures the efficient and effective functioning of the MPO through delegation to the staff and consultants
- Recognizes the need for internal controls and promotes their value to the staff
- Exhibits skill in problem solving

General Comments or Examples:

Follows state and federal grant requirements.

Revisions to UPWP (MPO budget) to match requested changes in projects/funding.

Use consultants to match expertise and benefit long term funding picture.

Develop policy and procedures to match the independent nature of this MPO.

Still need to address remaining business process issues out of audit.

Executive Director PERFORMANCE EVALUATION FORM

Communications

Rating: ____4____

5 - Outstanding, 4 - Above Average, 3 - Satisfactory, 2 - Needs Improvement, 1 - Unacceptable

- Keeps the Board and staff informed, and effectively communicates with them
- Represents the MPO as the primary spokesperson and liaison to the committee's, consultants, state officials, local jurisdictions and the public
- Provides information on legislative or regulatory matters impacting the MPO
- Organizes ideas and information logically and presents them well
- Effectively communicates, speaks and writes clearly and concisely the issues at hand using understandable terminology
- Manages communications with the media and the general public
- Projects a positive image as the Executive Director of the MPO

General Comments or Examples:

Communications through MPO Board meetings, agendas, write ups, e-mails, meetings and Telephone calls.

Respond to media requests for information in timely fashion.

Positive image of MPO when it comes to getting grant funding, amount of and the projects we are working on.

Use too many acronyms.

Executive Director PERFORMANCE EVALUATION FORM

Policy Matters

Rating: ____4____

5- Outstanding, 4 - Above Average, 3 - Satisfactory, 2 - Needs Improvement, 1 - Unacceptable

- Understands and respects the Board’s policy role
- Assists the Board on policy matters including the overall direction of the MPO
- Recommends policies or changes to policies to comply with laws and procedural changes as well as implementing the best practices in the transportation field
- Effectively interprets Board policies and concerns and develops a consistent direction for the staff to follow
- Initiates changes in day-to-day operations to conform to established Board policies
- Provides well-balanced information and clear recommendations to the Board

General Comments or Examples:

Board and MPO Chair approval and sign off on items (and why there are so many Amendments).

Asking Board member direction and input on items.

Best practice discussions and recommendations.

Projects and focus on items that have been identified from the Board and committees.

Balance of priorities on what is being worked on.

Executive Director PERFORMANCE EVALUATION FORM

Staff Development

Rating: 4

5- Outstanding, 4 - Above Average, 3 - Satisfactory, 2 - Needs Improvement, 1 - Unacceptable

- Creates an atmosphere that fosters teamwork, creativity, and public participation
- Assures all employees are well informed regarding MPO policies, procedures, and strategic direction
- Sets clear standards of performance for the staff
- Encourages professional development and appropriate training of staff
- Addresses cross training
- Assists staff in problem solving

General Comments or Examples:

Teamwork approach of working with our customers, committee's and local jurisdictions.

Open public participation process.

Professional development that includes participation in local and state training, national webinars on existing business items, GIS, bke/ped safety, transportation disadvantaged and freight planning.

Cross training out of necessity due to amount of employees and the work that has to get done.

Task assignments and decisions on how to proceed.

Significant Accomplishments:

Completion of the Rail Study and the Bicycle Pedestrian Safety Action plan and begin implementing the recommendations. \$10.5 million in TIGER Grant funds for the design and construction of bicycle, pedestrian and transit improvements. Funding for 45 out of 52 priorities including additional TRIP funding, round-a-bout feasibility funding and transit studies.

Lee County Injury Prevention Organization of the Year for 2013 and Florida Bicycle Association Supporting Agency of the year for 2012. Ongoing planning studies including conducting the land use scenario project, the bicycle pedestrian prioritization studies and performance measurement project, system performance measurement analysis project and LRTP tasks.

Update of planning MPO documents and agreements including amendments to LRTP, TIP, SIS, UPWP, 5303 transit planning agreement, LeeTran/MPO Interlocal agreement, ICAR agreement, Re-apportionment, urbanized boundary maps, roadway functional classifications, state certification and the major update to the Transportation Disadvantaged Service Plan.

Presentations to community sustainability advisory committee, APWA, LPA, Bonita Chamber, Cape Coral Planning & Zoning, Estero Community Group, Del Prado neighborhood meeting and Reconnecting Lee. Eleven MPO, TAC and CAC meetings including three joint meetings with Collier and Charlotte MPO's. Host Florida Pavement Preservation Council training, Nashville and Broward MPO, CUTR and Sarasota Honore project staff. Participate and attend statewide performance measurement committee, statewide Needs Plan project, transit task force, MPOAC, APA, CUTS, TD Commission, Veterans grant committee meetings, JARC/New Freedom grant meetings. Distributed 35,000 bike maps and conducted congestion management surveys. Moved twice within same building, updated insurance and hired new employee.

Areas Needing Improvement/Development Goals:

Need to address long term funding of transportation, improvements to website and electronic outreach activities and end of year close out processes.

REVIEW OF STATE AND FEDERAL 2040 LRTP TRANSPORTATION REVENUES

RECOMMENDED ACTION: Review the 2040 revenue forecast and provide staff direction.

The Florida Department of Transportation (FDOT) has developed a new long range revenue forecast. The forecast is based upon recent federal and state legislation (e.g., MAP-21, changes to Florida's Documentary Stamps Tax legislation), changes in factors affecting state revenue sources (e.g., population growth rates, motor fuel consumption and tax rates), and current policies. This information will be used for the update of the long range transportation plan.

The estimates and the guidance were prepared by FDOT, based on a statewide estimate of revenues that fund the state transportation program and are consistent with:

- "Financial Guidelines for MPO 2040 Long Range Plans" adopted by the Metropolitan Planning Organization Advisory Council (MPOAC) in January 2013.
- "Federal Strategies for Implementing Requirements for LRTP Update for the Florida MPOs", November 2012, prepared by the U. S. Department of Transportation, Federal Highway Administration in cooperation with the Federal Transit Administration.

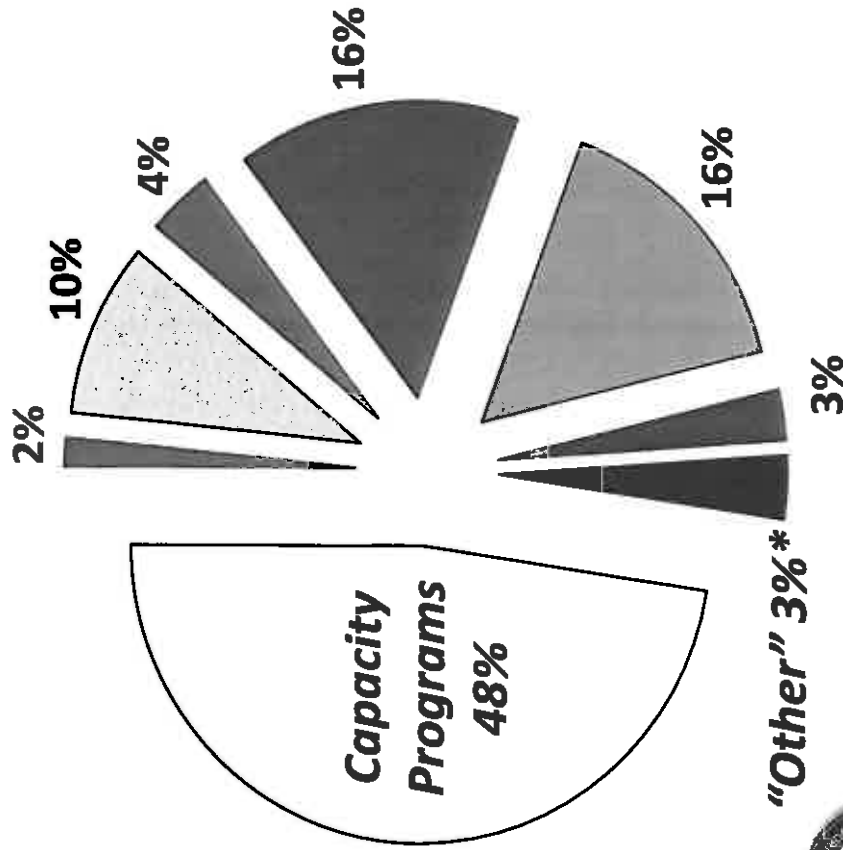
Florida's MPOs are encouraged to use these estimates and guidance in the updates of their long range plans. The attached pie charts show the breakdown of the statewide forecast for Capacity (103 Billion) and Non-Capacity (\$106 Billion) programs. (Attachment A)

The revenue estimates for Lee County are provided (Attachment B). The MPO staff has requested additional information on current department funding trends to determine if Lee County is receiving an equitable share of discretionary funds and if it roughly matches with the projections. The information will help us determine if we are getting what we have planned and projected to get consistent with our Long Range Plan and revenue projections. And if the answer is not in some funding areas, what does the MPO need to change in the LRTP this time around to have a more accurate projection of project/funding constraints?

Statewide Forecast

2040 Revenue Forecast: Non-Capacity Programs

FY 2014 – 2040, Billions of Dollars



Non-Capacity Programs = \$106 B (49%)

- Safety (\$3.4 B)
- Resurfacing (\$20.4 B)
- Bridge (\$8.0 B)
- Product Support (\$34.1 B)
- Operations & Maintenance (\$33.6 B)
- Administration (\$6.0 B)

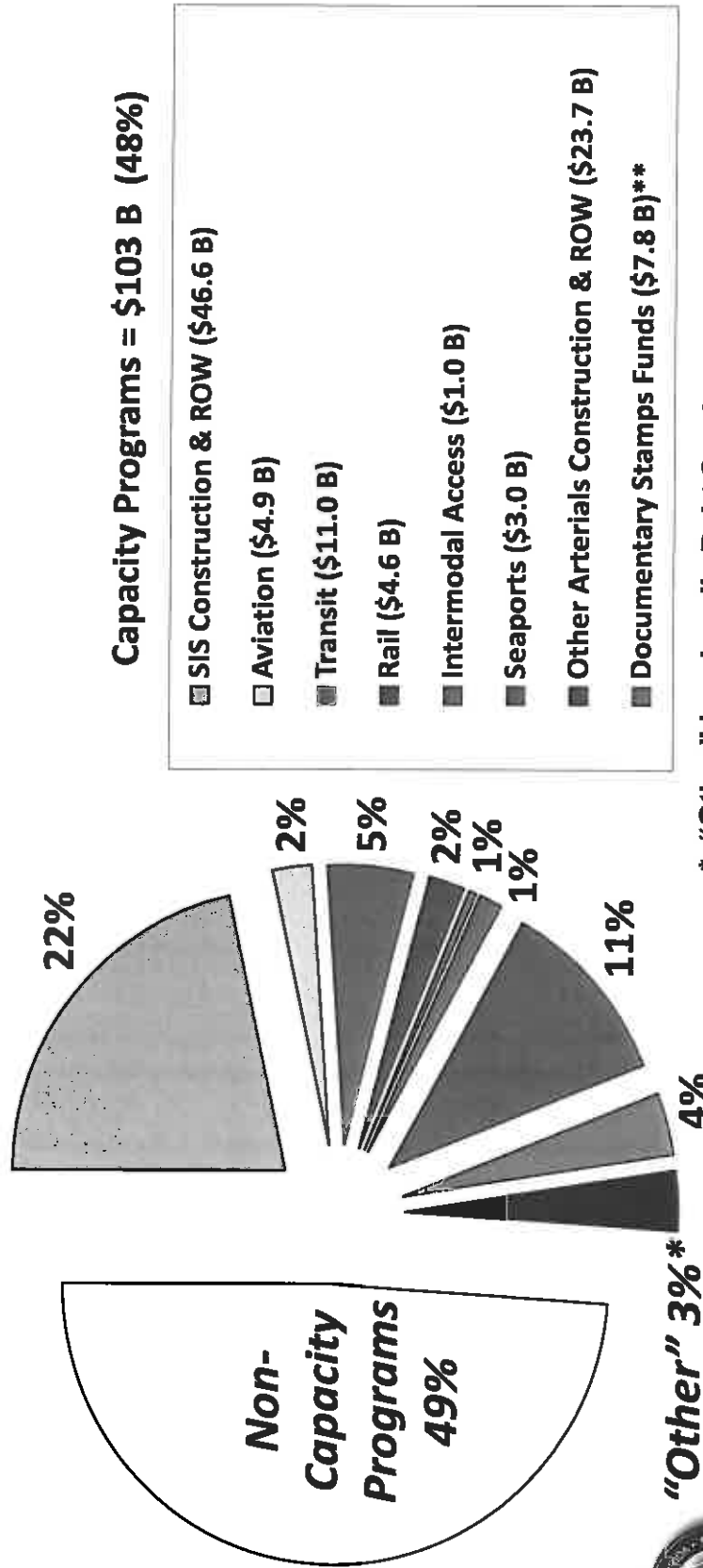
"Other" 3%*

* "Other" is primarily Debt Service



Statewide Forecast

2040 Revenue Forecast: Capacity Programs FY 2014 – 2040, Billions of Dollars



* "Other" is primarily Debt Service
 ** Documentary Stamps Funds not in Work Programs

Supplement to the 2040 Revenue Forecast Handbook

2040 Revenue Forecast for Lee County Metropolitan Area

Prepared by District 1 and Office of Policy Planning, Florida Department of Transportation

This supplement contains estimates of state and federal transportation revenues for the metropolitan area through 2040. The estimates were prepared by the Florida Department of Transportation, based on a statewide estimate of revenues that fund the state transportation program, and are consistent with "Financial Guidelines for MPO 2040 Long Range Plans" adopted by the Metropolitan Planning Organization Advisory Council (MPOAC) in January 2013. Florida's MPOs are encouraged to use these estimates in updates of long range plans.

These estimates are based on the 2040 Revenue Forecast prepared in spring 2013. See the *2040 Revenue Forecast Handbook*, dated July 2013, for more information on the statewide revenue forecast, revenue sources, definitions of major program categories and methodology.

ESTIMATES FOR CAPACITY PROGRAMS

Table 1 contains metropolitan area estimates for various time periods for certain state programs that affect the capacity of the transportation system to move people and goods. All estimates are expressed in Year of Expenditure dollars.

Programs That FDOT Takes the Lead in Planning

Estimates for SIS Highways Construction and Right of Way will be available by August 21, 2013. No metropolitan estimates for Aviation, Rail, Seaport Development and Intermodal Access programs for years beyond those in the FDOT Work Program have been developed.

Other Capacity Programs

Estimates for the Other Arterials Construction and Right of Way and Transit programs are shown in Table 1. MPOs are encouraged to plan for the mix of highway and transit improvements that best meets metropolitan needs with these funds. The MPO may combine the estimates for these two programs for years 2019-2040 and consider them as "flexible" funds.

Computation of Funds for Other Arterials Construction and Right of Way – The estimates shown for Other Arterials Construction and Right of Way were developed as follows:

- The average share of total statewide TMA (also known as SU) Funds programmed on Other Arterials Construction/ROW in fiscal years 2013 (current year) and 2014-2018 (the Tentative Work Program) were taken "off the top" from the total statewide estimates for Other Arterials Construction/ROW for all forecast years.
- Transportation Alternatives estimates were taken "off the top" from the total estimates for Other Arterials Construction/ROW for all forecast years.
- Remaining funding estimates for this program (i.e., after the share of TMA and TA estimates were taken "off the top") were distributed to district and county levels using current statutory formula factors.

TMA Funds – Funds distributed to Transportation Management Areas, as defined by MAP-21, are shown in Table 2. They are the same as "SU" funds in the 5-Year Work Program. The

estimates are based on Schedule A¹ of the Work Program Instructions and long range estimates of federal funds. These funds are not included in the estimates for Other Arterials Construction and Right of Way shown in Table 1. Guidance regarding planning for these funds in the long range transportation plan is included in the *2040 Revenue Forecast Handbook*.

Transportation Alternatives Funds – Table 3 provides estimates of Transportation Alternatives funds, as defined by MAP-21, to assist MPOs in developing their plans. The estimates are based on Schedule A¹ of the Work Program Instructions and long range estimates of federal funds. These funds are not included in the estimates for Other Arterials Construction and Right of Way shown in Table 1. Guidance regarding planning for these funds in the long range transportation plan is included in the *2040 Revenue Forecast Handbook*. Use of these funds in the long range transportation plan must be consistent with federal and state policy.

INFORMATION RELATED TO CERTAIN FUNDS AND PROGRAMS

Additional estimates are provided for certain federal funds and state programs. See guidance in the *2040 Revenue Forecast Handbook* for planning for use of these funds.

TRIP and New Starts Programs – Tables 4 and 5 provides estimates of funds for state programs that have matching funds and other requirements.

Transportation Regional Incentive Program (TRIP) Funds – These are estimates of districtwide funds for the TRIP program that are not included in an FDOT Work Program as of July 1, 2013.

New Starts Transit Funds - These are estimates of statewide funds for the New Starts program that are not included in an FDOT Work Program as of July 1, 2013.

NON-CAPACITY PROGRAMS

Table 6 contains districtwide estimates for State Highway System Operations and Maintenance expenditures for information purposes. This material is included pursuant to an agreement between FDOT and the Federal Highway Administration Division Office regarding the reporting of estimates of Operations and Maintenance costs in MPO long range plans at the district level. MPOs will be provided guidance on documenting these funds in the *2040 Revenue Forecast Handbook* for planning.

No metropolitan estimates for these or other non-capacity programs have been developed. Consistent with MPOAC “Financial Guidelines for MPO 2040 Long Range Plans”, the Department will prepare a summary of these program estimates and state objectives (entitled “Appendix for the Metropolitan Long Range Plan, 2040 Revenue Forecast”) for inclusion in the documentation of the metropolitan long range plan and provide the Appendix to each MPO.

FORECAST YEARS

Tables 1-5 contain revenue estimates for Fiscal years 2019-2040. The MPO should use the TIP/STIP to summarize estimates for 2014-2018.

¹ “Schedule A” specifies and distributes estimated funds legally available in the years covered by the FDOT 5-Year Work Program. FDOT’s Work Program Instructions contain Schedule A, and a “Program Allocation Guide” which describes the processes, assumptions, and requirements used to develop Work Program Fund Allocations.

Revenue Estimates For: Lee County Metropolitan Area

**Table 1
Capacity Program Estimates
State and Federal Funds from 2040 Revenue Forecast (Millions of Dollars)
Florida Department of Transportation**

CAPACITY PROGRAMS	2040 Revenue Forecast				
	FYs 2019-20 Subtotal	FYs 2021-25 Subtotal	FYs 2026-30 Subtotal	FYs 2031-40 Subtotal	22 Year Total
SIS Highways Construction/ROW ^{1,2}	N/A	N/A	N/A	N/A	N/A
Other Arterial Construction/ROW ²	50.1	111.8	105.7	231.3	498.9
Transit ²	24.4	62.8	66.1	138.5	291.8

¹ To be provided separately.

² May be supplemented with TMA Funds. See Table 2 and guidance in the *2040 Revenue Forecast Handbook* for planning for use of these funds.

**Table 2
TMA Estimates¹
State and Federal Funds from 2040 Revenue Forecast (Millions of Dollars)
Florida Department of Transportation**

TRANSPORTATION MANAGEMENT AREA	2040 Revenue Forecast				
	FYs 2019-20 Subtotal	FYs 2021-25 Subtotal	FYs 2026-30 Subtotal	FYs 2031-40 Subtotal	22 Year Total
TMA Funds	14.3	35.8	35.8	71.6	157.5

¹ See guidance in the *2040 Revenue Forecast Handbook* for planning for use of these funds.

**Table 3
Transportation Alternatives Estimates¹
State and Federal Funds from 2040 Revenue Forecast (Millions of Dollars)
Florida Department of Transportation**

TRANSPORTATION ALTERNATIVES	2040 Revenue Forecast				
	FYs 2019-20 Subtotal	FYs 2021-25 Subtotal	FYs 2026-30 Subtotal	FYs 2031-40 Subtotal	22 Year Total
TALU (Urban)	1.4	3.5	3.5	7.0	15.4
TALL (<200,000 Pop.)	N/A	N/A	N/A	N/A	N/A
TALT (Any Area)(Districtwide)	6.9	17.3	17.3	34.6	76.1

¹ Use of these funds in the long range transportation plan must be consistent with federal and state policy. See guidance in the *2040 Revenue Forecast Handbook* for planning for use of these funds.

**Revenue Estimates for Lee County Metropolitan Area
For Information Purposes**

**Table 4
Transportation Regional Incentive Program Estimates¹
State and Federal Funds from 2040 Revenue Forecast (Millions of Dollars)
Florida Department of Transportation**

TRANSPORTATION REGIONAL INCENTIVE PROGRAM	2040 Revenue Forecast				
	FYs 2019-20 Subtotal	FYs 2021-25 Subtotal	FYs 2026-30 Subtotal	FYs 2031-40 Subtotal	22 Year Total
Districtwide TRIP Funds	0.9	6.7	6.7	13.4	27.7

¹ For informational purposes. Estimates are for TRIP Funds not included in an FDOT Work Program as of July 1, 2013. See guidance in the *2040 Revenue Forecast Handbook* for planning for use of these funds.

**Table 5
New Starts Transit Estimates¹
State and Federal Funds from 2040 Revenue Forecast (Millions of Dollars)
Florida Department of Transportation**

NEW STARTS TRANSIT	2040 Revenue Forecast				
	FYs 2019-20 Subtotal	FYs 2021-25 Subtotal	FYs 2026-30 Subtotal	FYs 2031-40 Subtotal	22 Year Total
Statewide New Starts Funds	63.3	174.3	174.3	348.6	760.3

¹ For informational purposes. Estimates are for New Starts Funds not included in an FDOT Work Program as of July 1, 2013. See guidance in the *2040 Revenue Forecast Handbook* for planning for use of these funds.

**Table 6
State Highway System Operations and Maintenance Estimates¹
State and Federal Funds from 2040 Revenue Forecast (Millions of Dollars)
Florida Department of Transportation**

STATE HIGHWAY SYSTEM OPERATIONS & MAINTENANCE	2040 Revenue Forecast					
	FYs 2014-15 Subtotal	FYs 2016-20 Subtotal	FYs 2021-25 Subtotal	FYs 2026-30 Subtotal	FYs 2031-40 Subtotal	27 Year Total
Districtwide SHS O&M Funds	543	1,499	1,530	1,676	3,683	8,931

¹ For informational purposes. MPOs will be provided guidance on documenting these funds in the *2040 Revenue Forecast Handbook*.

UPDATE AND DISCUSSION ON THE TIGER GRANT

DISCUSSION ITEM:

The Lee MPO was recently awarded a TIGER V grant of \$10.5 million by US DOT to implement the Lee County Complete Streets Initiative project. The project scope includes the construction of shared use paths, bike lanes, sidewalks, sidewalk connections, way-finding signs, bicycle parking, bus shelters and ADA compliant infrastructure. These improvements and enhancements are proposed along the Lee Tour De Parks Loop and the University Loop identified in the MPO Bicycle Pedestrian Master Plan, and along LeeTran's LINC and 60 bus routes.

The MPO staff has been holding weekly to bi-weekly meetings with the Federal Highway Administration to keep the project moving towards a successful implementation. The approval of funding for the up-front work has now been approved and staff is working with two of our General Planning Consultants to complete the environmental work and to develop the design-build criteria package.

DISCUSSION ON THE ANALYSIS OF PROJECTS FOR THE 2040 LONG RANGE TRANSPORTATION PLAN

RECOMMENDED ACTION: To provide input on the analysis of projects that may be used to help the MPO Board, Public, Committee's and Staff determine what gets included in the 2040 Long Range Transportation Plan.

One of the best practices that came out of the MPO Board presentations last year was the process that Nashville uses to analyze projects that are being considered during the development of the Long Range Transportation Plan (LRTP). The Nashville MPO developed project sheets that included many different data items, consistent with their goals, which were used to help determine why the project should be included in the LRTP. One of the important factors in this process was not just the identification of the evaluation criteria to show the need for the project but going through the process of having to request the project and showing how the proposed project solves the issue that is being identified. Some type of similar process should provide a good opportunity for the person/agency/staff asking for the project to describe why they want it. The project evaluation criteria items that were used in Nashville are **attached** for the Executive Committee's review and discussion (evaluation factors, scoring criteria and sample candidate project).

Nashville Area MPO
2035 Regional Plan - Project Evaluation Factors
ENDORSED BY EXECUTIVE BOARD, MARCH 17, 2010

Factors in Evaluating Projects for the 2035 Regional Transportation Plan

1. Congestion Management

- a. What are the root causes of congestion in the vicinity of the project location (e.g., traffic volume, physical design, crashes, regulations, behavioral, freight, etc.)?
- b. Given the land uses, urban design and community goals for the project vicinity, what level of congestion is appropriate for the project and vicinity (i.e. some commercial centers/Downtowns need greater congestion for visibility/economic development)?
- c. How well does the project address those causes?
- d. How could the project be scoped to include congestion management solutions to optimize its benefit?

2. Multi-Modal Choices

- a. How well does the project introduce, support, or reinforce multiple transportation choices for people to access residences, jobs, schools, food, entertainment, etc?
- b. How can the project be scoped to incorporate facilities for and/or connections to non-motorized modes and transit?

3. Freight & Goods Movement

- a. How well does the project support or harm the movement of freight and goods through the region?
- b. How can the project be scoped to incorporate facilities that aid in the safe and efficient movement of freight?
- c. How can the project be scoped to balance the movement of freight and goods with other community goals?

4. Safety & Security

- a. How well does the project address safety concerns for all users?
- b. Is the project in a high-crash corridor?
- c. How can the project be scoped to increase safety of all users?
- d. How well does the project address security concerns?
- e. Does the project aid/ harm important evacuation routes?
- f. How can the project be scoped to features that help secure citizens and regional resources?

5. System Preservation

- a. How well does the project make use of limited financial resources to ensure the continued productivity of the existing transportation system?
- b. How can the project be scoped to include features the make the facility more efficient (e.g., ITS, design, materials, etc.)

6. Quality Growth/ Sustainable Land Development

- a. How well does the project encourage infill/ redevelopment?
- b. Do area plans call for mixed-used, higher density development? If so, how does the project complement these plans?
- c. Is the project encouraging growth in areas where growth is planned or desired?
- d. Conversely, is the project encouraging growth in areas where additional growth is not planned or desired?
- e. Does the project enhance or contribute to the form and function quality of the surrounding community?

7. Economic Prosperity

- a. How well does the project support or stimulate the local/ regional economy?
- b. How well does the project support freight movements?
- c. To what degree does the implementation of the project create jobs?
- d. How well does the facility connect people with opportunities to engage in economic activity?
- e. To what degree does the project aid in the region's economic competitiveness with other metro areas of the nation?
- f. Is the project supported by business leaders?

8. Health & Environment

- a. Does the project aid/ harm in the preservation of the region's natural or socio-cultural resources (e.g., open space, animal habitat, historic structures, places of worship, community centers, etc.)?
- b. How can the project be scoped to mitigate the negative impacts to valuable resources?
- c. How well does the project support efforts to reduce dependency on fossil fuels, particularly foreign oil?
- d. How well does the project support efforts to improve air and water quality?
- e. Does the project include facilities that provide opportunities for active transportation/ physical activity?
- f. Does the project aid/ harm the advancement of social justice and equal opportunity to destinations throughout the region?
- g. How can the project be scoped to mitigate any negative impacts to predominately low-income or minority communities or persons with a disability?

9. Local Support/ Consistency with Plans

- a. Is the project consistent with local, state, or other regional plans for growth and preservation (economic development, land use, natural features preservation, etc.)?
- b. Has the project been endorsed locally through the adoption of official instruments such as, but not limited to, a local major thoroughfare plan, transportation element of a comprehensive plan, or by resolution of the local governing body?
- c. If on a state-route, is the project endorsed or supported by TDOT?

Nashville Area Metropolitan Planning Organization
 2035 Regional Transportation Plan | Project Evaluation Criteria
 Endorsed by MPO Executive Board on March 17, 2010

EVALUATION CRITERIA	SCORE
TOTAL POSSIBLE POINTS	100
SYSTEM PRESERVATION & ENHANCEMENT	15
Project Improves Existing Route	+
Project Upgrades Route to Context Sensitive/ Prescribed Design Standards	+
Project Addresses Major Maintenance (e.g., bridge repair, etc.)	+
Project Integrates ITS Technology	+
Project Has Sustainable Operations/ Ongoing Maintenance Support	+
QUALITY GROWTH, SUSTAINABLE DEVELOPMENT, & ECONOMIC PROSPERITY	15
Project Supports Quality Growth Principles	
Project Improves Accessibility and/or Connectivity to Existing Development	+
Project Located in Preferred Growth Area	+
Project Supports Infill/ Redevelopment	+
Project Incorporates Streetscaping/ Enhancements	+
Project Located Near Mixed-Use, High Density Areas	+
Project is Consistent with Desired Urban Design/ Form-Based Codes	+
Project Corrects Poor Storm water Flow/ Drainage	+
Project Improves Utility Location	+
Project Contributes to Grid Development/ Roadway Network Connectivity	+
Project Supports Existing or Planned Economic Development	
Project Located Near Existing Jobs	+
Project Located In High Job Growth Areas	+
Project Improves Multi-Modal Access to Jobs and Retail	+
Project Provides Improved/ New Access to Planned Growth Area	+
Project Endorsed by Local Chamber of Commerce	+
MULTI-MODAL OPTIONS	15
Project is Located within a Strategic Multi-Modal Corridor	
Route Includes Existing Transit Service	+
Route Includes Planned Transit Service	+
Route Includes Existing Pedestrian/ Bicycle Facilities	+
Route Includes Planned Pedestrian/ Bicycle Facilities	+
Project Incorporates Multi-Modal Solutions	
Project Improves Modal Conflict (e.g., traffic signals, grade separation, dedicated lanes)	+
Project Includes Transit Accommodations (e.g., pullouts, shelters, dedicated lanes, signal priority)	+
Project Includes Pedestrian Amenities (e.g., benches, bulb outs, pedestrian refuges, etc)	+
Project Includes Sidewalk Improvements (bonus for b+p priority)	+
Project Includes Bicycle Facility Improvements (bonus for b+p priority)	+
Project Makes a Connection to another Modal Facility	+
Project Includes Carpool Lane	+
CONGESTION MANAGEMENT	10
Project Addresses Corridor Congestion	
Top Priority - Appropriately Addresses MPO Base Year Congestion	+
Second Priority - Appropriately Addresses MPO 2015 Congestion	+
Third Priority - Appropriately Addresses MPO 2025 Congestion	+
Fourth Priority - Appropriately Addresses MPO 2035 Congestion	+
Appropriately Addresses Congestion as Identified by Other Study or Observation	+
Project Incorporates Congestion Management Strategies	
Geometrical Improvement	+
Grade Separation or Dedicated Travel Lanes for Individual Modes	+
Improvements to Access Management	+
ITS/ Signalization Improvement	+
Improvements to Turning Movements	+
Improves Parallel Facility/ Contributes to Alternative Routing	+
Provides Additional Non-Motorized Mode Capacity	+
Transit Capacity	+
Signage/ Wayfinding	+
Other Improvement	+
SAFETY & SECURITY	10
Project Addresses a High Crash Location	
Local High Crash Intersection	+
Local High Crash Corridor	+

EVALUATION CRITERIA	SCORE
MPO High Crash Intersection	+
MPO High Crash Corridor	+
State High Crash Corridor	+
Project Incorporates Safety Improvement Strategies	
Geometrical Improvement for Vehicular Safety	+
Geometrical Improvement for Bicycle or Pedestrian Safety	+
ITS/ Signalization Improvement	+
Signage/ Wayfinding	+
Bicycle or Pedestrian Facility Accommodations	+
Bicycle or Pedestrian Signage or Markings	+
Traffic Calming Techniques Appropriate to Facility Function	+
Other Improvement with Rationale to How the Project Improves Safety	+
Additional Safety & Security Elements	
Project Increases Safe Travel to Nearby School (within 3 Miles)	+
Project Addresses Security/ Emergency Responsiveness	+
FREIGHT & GOODS MOVEMENT	10
Route has Significant Truck Movements	+
Route is Anticipated to have Significant Truck Movements	+
Route Serves Major Shipping/ Distribution Center	+
Route will Serve Planned Major Shipping/ Distribution Center	+
Route Serves Intermodal Center (e.g., rail yard, port, etc.)	+
Project Improves a Designated Truck Route	+
Project Addresses Existing Freight/ Passenger Conflict	+
Project Provides Separation in Freight/ Passenger Movements (e.g., grade separation)	+
Project Design Accommodates Anticipated Freight Flows	+
Project Strategically Restricts Freight Movement for Safety or Congestion Management	+
Project Impedes Efficient Delivery of Goods	-
HEALTH & ENVIRONMENT	10
Project Improves Health & Environment	
Project Provides Increased Accessibility for Low-Income & Minority Communities	+
Project Corrects ADA Non-Compliance	+
Project Provides Transportation Choices for the Disabled	+
Project Provides Transportation Choices for Aging Population	+
Project Provides Transportation Choices in Health Impact Areas	+
Project Promotes Physical Activity	+
Project Reduces VHT/ VMT	+
Project Reduces Vehicle Emissions	+
Project Has Potential Consequences for Health & Environment	
Project Located Close to Natural Resources/ Environmental Constraints	-
Project Located Close to Socio-Cultural Resources	-
PROJECT HISTORY	10
Project Has Documented Local Support	
Local Governing Body Resolution of Support	+
Identified as Top Local Priority	+
Project Has Detailed Planning & Engineering Efforts	
Detailed Planning Report Conducted (e.g., TPR, IJS, AA, etc.)	+
Preliminary Engineering & Design Conducted	+
Project Has Documented Funding Support	
Project is on the federal-aid system	+
High Level of Local Participation (20+ percent of funding)	+
Local Funds Programmed/ Budgeted	+
State Funds Programmed/ Budgeted	+
Previously Included in MPO TIP or LRTP	+
CONSISTENCY WITH PLANS	5
Local Comprehensive Plan Transportation Priority	+
Local/ Regional Transit Plan Priority	+
Local/ Regional Bicycle & Pedestrian Plan Priority	+
ITS Architecture/ Master Plan Priority	+
Strategic Highway Safety Plan Priority	+
Other Official Planning Instrument	+

2035ID# 8	SR-10/US-231 South	SPONSOR Lebanon	COUNTIES Wilson	Road Widening
				HORIZON 2025

ROAD NAME:	SR-10/US-231 South	LENGTH (MILES):	2.20
FROM:	I-40	EXISTING#LANES:	2/3
TO:	Walnut Grove Rd.	FUTURE#LANES:	5
DESCRIPTION:	Widening of existing state route and federal highway		EX FCLASS: Rural Minor Arterial

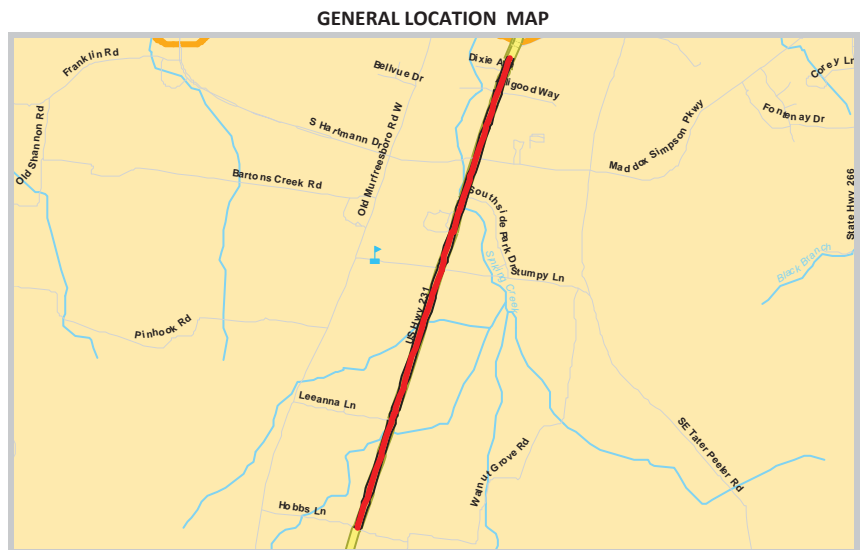
PURPOSE + NEED

PRIMARY: Mitigate Future Congestion
SECONDARY: Support Econ. Development, Improve Safety, Improve System Efficiency (Operations)
COMMENTS: Widen existing state route/federal highway to mitigate future congestion on this major connector between the cities of Lebanon and Murfreesboro. TDOT's Urban Functional Classification System designates US-231 as an Urban Principal Arterial. Based on US-231 South/SR-10 being a state and federal highway, we will be asking TDOT to be involved in the project, including assisting with funding. The city's adopted MTP designates US-231 as an arterial. The MTP recommends this project as a MEDIUM P RRIORITY and further states that the majority of this roadway currently operates at LOS C. Future land uses along this stretch no only include the existing residential development but also commercial, commercial/office, and residential mixed-use.

HISTORY	COST ESTIMATE	CONTACT
2030 LRTP: 7034	TOTAL COST (2010): \$12,100,000.00	NAME: Magi Tilton
PREVIOUS TIP:	YEAR OF EXPENDITURE: 2020	TITLE: Planning Director
STUDIES:	YOE COST ESTIMATE: \$17,910,955.85	AGENCY: City of Lebanon
FED AID ROUTE: YES	BASIS: Rough Planning Estimate	DEPT: Public Works - Engineering & Planning
SHOVEL READY:	FEDERAL SHARE: 100%	PHONE: (615) 444-3647
LOCAL SUPPORT:	FEDERAL COST (2010): \$12,100,000.00	EMAIL: tiltonm@lebanontrn.org
TDOT SUPPORT:	FEDERAL COST (YOE): \$17,910,955.85	
PRIOR WORK:	MATCH STATUS: No	

PROPOSED IMPROVEMENTS

PRIMARY WORK:	Road Widening	
OTHER WORK:		
LENGTH (MILES):	2.20	
PROPOSED F CLASS:		
PROPOSED X-SECT:	Continuous Center Turn Lane	
AREA TYPE (FAUB):	URBAN	
REALIGNMENT:	SIDEWALKS:	Y
WAYFINDING:	CROSS WALKS:	
ITS INTEGRATION:	BICYCLE LANE:	Y
SIGNALS:	SHARED LANE:	
CURB + GUTTER:	MULTI-USE TRAIL:	Y
STREETSCAPING:	TRANSIT LANES:	
BRIDGE REPAIR:	SIGNAL PRIORITY:	
HOV LANES:	BUS PULLOUT:	
ACCESS MNGT:	STOP AMENITIES:	



CONGESTION MANAGEMENT

CONGESTION YEAR (MODEL): 2035
 2008 PCT FREE FLOW 99%
 2035 PCT FREE FLOW 66%
 2008 V/C: 0.41
 2035 V/C: 0.90
 CRASHES per 1/10TH MI: 7.67
 2008 FREIGHT INDEX: 0.73
 2035 FREIGHT INDEX: 0.86
 CONSTRAINED CORRIDOR:

OTHER JUSTIFICATION:

CONTRIBUTORS:

SYSTEM PRESERVATION

EXISTING FUN CLASS: Rural Minor Arterial
 2008 VOL: 8,062
 2008 CLASS INDEX: 1.22
 2035 VOL: 15,627
 2035 CLASS INDEX: 1.46

IMPROVEMENTS ADDRESS:

GEOMETRIC DEFICIENCIES:
 DESIGN STANDARDS:
 AGE RELATED REPAIRS:
 ITS INTEGRATION:
 MULTI-MODAL UPGRADES:
 QoFL ENHANCEMENTS:

SAFETY + SECURITY

CRASHES per 1/10TH MI: 7.67
2006-08 annual average of crashes with known x/y for highest segment of project.
 TOTAL FATAL CRASHES: 1
 FATAL CRASHES INV B/P: 1
 FATAL CRASHES INV TRUCK: 0
2005-09 total count of those with known x/y along entire length of project.
 LOCAL HIGH CRASH AREA:
 STATE SAFETY CORRIDOR:
 BRIDGES + OVERPASSES: 2
 STRATEGIC HWY NETWORK: NO
 NATIONAL HIGHWAY SYS: NO
 EVACUATION CORRIDOR:

FREIGHT + GOODS MOVEMENT

2008 HEAVY TRUCK VOL: 130
 PERCENT OF FLOW: 2%
 2008 CLASS INDEX: 0.55
 2035 HEAVY TRUCK VOL: 219
 PERCENT OF FLOW: 1%
 2035 CLASS INDEX: 0.58
 2008 TOTAL TRUCK VOL: 618
 PERCENT OF TOTAL: 8%
 2008 CLASS INDEX: 0.73
 2035 TOTAL TRUCK VOL: 1,114
 PERCENT OF TOTAL: 7%
 2035 CLASS INDEX: 0.86
 DESIGNATED TRUCK ROUTE: State Route-TN

TRANSIT

EXISTING LOS:
 SERVICE AREA: RTA, MCHRA
 EXISTING FIXED-ROUTE: NO
 LATENT DEMAND:
 DESIRED LOS:
 PLAN:
 PLANNED IMPROVEMENTS:

PEDESTRIAN

PED LOS (LOWEST): E
 EXISTING FACILITY: N
 LATENT DEMAND: 23.78
 DEMAND PCTL: 25 to
 REGIONAL PLAN: Y
 LOCAL PLAN: Y
 BPAC SCORE: 35
 BPAC SCORE PCTL: 75 to 90

BICYCLE

BIKE LOS (LOWEST): C
 EXISTING FACILITY: N
 LATENT DEMAND: 3.02
 DEMAND PCTL: 25 to
 REGIONAL PLAN: Y
 LOCAL PLAN: Y
 BPAC SCORE: 31
 BPAC SCORE PCTL: 90 to 100

SUSTAINABLE DEVELOPMENT

FEDERAL AID URBAN AREA: PARTIALLY
 LOCAL URBAN GRTH BNDY: ENTIRELY
 ANALYSIS OF HHs and EMPLOYMENT WITHIN 1/2 MILE OF PROJECT:
 2008 HH DENSITY (SQMI): 151.01
 2035 HH DENSITY (SQMI): 226.85
 2008-35 HH GROWTH RATE: 50.22%
 2008 EMP DENSITY (SQMI): 521.48
 2035 EMP DENSITY (SQMI): 943.62
 2008-35 HH GROWTH RATE: 80.95%

ENVIRONMENT

ENV CONFLICT OVERLAP: YES
 ENV CHALLENGE OVERLAP: YES
 TITLE VI/ EJ AREA: 1/8
 HIGH RATE OF POVERTY: NO
 HIGH RATE OF MINORITY: NO
 SCHOOLS 1/4 MILE: 0
 RELIGIOUS CENTERS 1/4 MILE: 2

HEALTH

EMISSIONS REDUCTION:
 HEALTH IMPACT AREA:
 ACTIVE TRANSPORTATION: YES
 HIGH RATE OF ELDERLY: NO
 HIGH RATE OF DISABILITY: YES

2035ID# 8

SR-10/US-231 South

END OF LINE.